

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

SMALL BUSINESS INVESTOR ALLIANCE PAC

ADDRESS (number and street)

1100 H Street, NW

Suite 610

☐ Check if different than previously reported. (ACC)

WASHINGTON

DC

20005

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00109991

3. IS THIS
REPORT☐NEW
(N)

OR

☒AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☒ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
04 01 2010

through

M M M / D D D / Y Y Y Y Y Y
06 30 2010

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Christopher M Marston

Signature of Treasurer

Mr. Christopher M Marston

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
04 09 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

SMALL BUSINESS INVESTOR ALLIANCE PAC

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
04 / 01 / 2010 To: M M / D D / Y Y Y Y Y Y
06 / 30 / 2010

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2010		60956.89
(b) Cash on Hand at Beginning of Reporting Period.....	57706.89	
(c) Total Receipts (from Line 19)	14025.00	17775.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	71731.89	78731.89
7. Total Disbursements (from Line 31)	23000.00	30000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	48731.89	48731.89
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

SMALL BUSINESS INVESTOR ALLIANCE PAC

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	4		0	1		2	0	1	0

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	6		3	0		2	0	1	0

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	13750.00	17500.00
(ii) Unitemized	275.00	275.00
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	14025.00	17775.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	14025.00	17775.00
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	14025.00	17775.00
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	14025.00	17775.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	23000.00	30000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	23000.00	30000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	23000.00	30000.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	14025.00	17775.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	14025.00	17775.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 21

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. AP Management Company

Mailing Address 509 S Exeter St
Ste 201

City State Zip Code
Baltimore MD 21202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2750.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 18 2010

Transaction ID : SA11AI.7152

Amount of Each Receipt this Period

2750.00

Partnership Contribution (See Below for Attribution)

Full Name (Last, First, Middle Initial)

B. Mr. Charles McCusker

Mailing Address 509 S Exeter St
Ste 210

City State Zip Code
Baltimore MD 21202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

AP Management Company

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 18 2010

Transaction ID : SA11AI.7152.0

Amount of Each Receipt this Period

550.00

Patriot Capital, LP Partnership Allocation

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

C. Mr. Chris Royston

Mailing Address 16 West Madison Street

City State Zip Code
Baltimore MD 21201

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

AP Management Company

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 18 2010

Transaction ID : SA11AI.7152.1

Amount of Each Receipt this Period

550.00

Patriot Capital, LP Partnership Allocation

[MEMO ITEM]

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2750.00

: 97 `A-G79 @G B9CI G`H9LH`F9 @5 H98 `HC`5 `F9DCFHŽG7 <98I @`CF`+9A-N5HCB
.

Form/Schedule: SA11AI

Transaction ID : SA11AI.7152

Originally reported as Patriot Capital LP. Now correctly reported as AP Management Company. Partnership attributions unchanged.

Form/Schedule:

Transaction ID:

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. Charles Brayn

Mailing Address 509 S Exeter St
Ste 210

City State Zip Code
Baltimore MD 21202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Patriot Capital, LP

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

05 / 18 / 2010

Transaction ID : SA11AI.7152.2

Amount of Each Receipt this Period

550.00

Patriot Capital, LP Partnership Allocation

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

B. Mr. Thomas Holland

Mailing Address 509 S Exeter St
Ste 210

City State Zip Code
Baltimore MD 21201

FEC ID number of contributing
federal political committee.

C

Name of Employer

AP Management Company

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

05 / 18 / 2010

Transaction ID : SA11AI.7152.3

Amount of Each Receipt this Period

550.00

Patriot Capital, LP Partnership Allocation

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

C. Mr. Thomas Neale

Mailing Address 509 S Exeter St
Ste 210

City State Zip Code
Baltimore MD 21201

FEC ID number of contributing
federal political committee.

C

Name of Employer

AP Management Company

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

05 / 18 / 2010

Transaction ID : SA11AI.7152.4

Amount of Each Receipt this Period

550.00

Patriot Capital, LP Partnership Allocation

[MEMO ITEM]

SUBTOTAL of Receipts This Page (optional)..... ►

0.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 21

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. Mr. Matthew Dwyer

Mailing Address 11320 Random Hills Road
Suite 600

City State Zip Code
Fairfax VA 22030

FEC ID number of contributing
federal political committee.

C

Name of Employer

Matthews Carter & Boyce

Occupation

Accountant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 05 / 2010

Transaction ID : SA11AI.7151

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Martin P Gilmore

Mailing Address 101 N Cherry St
Ste 700

City State Zip Code
Winston-Salem NC 27101

FEC ID number of contributing
federal political committee.

C

Name of Employer

BB&T Capital Partners

Occupation

Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 03 / 2010

Transaction ID : SA11AI.7144

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Thomas Hiatt

Mailing Address 10 West Market Street
Suite 3030

City State Zip Code
Indianapolis IN 46204

FEC ID number of contributing
federal political committee.

C

Name of Employer

Centerfield Capital Partners, LP

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 20 / 2010

Transaction ID : SA11AI.7320

Amount of Each Receipt this Period

500.00

SUBTOTAL of Receipts This Page (optional)..... ►

2500.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 21
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. Mr. Thomas Hiatt

Mailing Address 10 West Market Street
Suite 3030

City State Zip Code
Indianapolis IN 46204

FEC ID number of contributing
federal political committee.

C

Name of Employer

Centerfield Capital Partners, LP

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
06 / 11 / 2010

Transaction ID : SA11AI.7188

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Christopher Jones

Mailing Address 101 N Cherry St
Ste 700

City State Zip Code
Winston-Salem NC 27101

FEC ID number of contributing
federal political committee.

C

Name of Employer

BB&T Capital Partners

Occupation

Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 05 / 2010

Transaction ID : SA11AI.7146

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Monro B. Lanier III

Mailing Address 301 Washington Street
#301

City State Zip Code
Huntsville AL 35801

FEC ID number of contributing
federal political committee.

C

Name of Employer

Hickory Venture Capital Corp.

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 05 / 2010

Transaction ID : SA11AI.7150

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 21
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. Mr. Michael Painter

Mailing Address 4601 Six Forks Road
Suite 528

City Raleigh State NC Zip Code 27609

FEC ID number of contributing
federal political committee.

C

Name of Employer

Plexus Capital, LLC

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

05 / 05 / 2010

Transaction ID : SA11AI.7161

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

B. Mr. Corey Sclar

Mailing Address 80 Field Point Road

City Greenwich State CT Zip Code 06830

FEC ID number of contributing
federal political committee.

C

Name of Employer

Brookside Capital Partners

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

06 / 11 / 2010

Transaction ID : SA11AI.7186

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

C. SCP Advisors LLC

Mailing Address 112 Cambridge Plaza Dr
Ste 201

City Winston-Salem State NC Zip Code 27104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

05 / 10 / 2010

Transaction ID : SA11AI.7162

Amount of Each Receipt this Period

2000.00

Partnership Contribution (See Attribution Below)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2750.00

: 97 `A-G79 @G B9CI G`H9LH`F9 @5 H98 `HC`5 `F9DCFHŽG7 <98I @`CF`+H9A-N5HCB
.

Form/Schedule: SA11AI
Transaction ID : SA11AI.7162

Originally reported as Salem Capital Partners. Now correctly reported as SCP Advisors LLC. Partnership attributions unchanged.

Form/Schedule:
Transaction ID:

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 21

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. Mr. Phillip W. Martin

Mailing Address 112 Cambridge Plaza Drive
Suite 201

City State Zip Code
Winston Salem NC 27104

FEC ID number of contributing
federal political committee.

C

Name of Employer

SIP III Advisor LLC

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 10 2010

Transaction ID : SA11AI.7162.0

Amount of Each Receipt this Period

500.00

Salem Capital Partners Partnership Allocation

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

B. Mr. Kevin Jessup

Mailing Address P.O. Box 21962

City State Zip Code
Greensboro NC 27402

FEC ID number of contributing
federal political committee.

C

Name of Employer

SIP III Advisor LLC

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 10 2010

Transaction ID : SA11AI.7162.1

Amount of Each Receipt this Period

500.00

Salem Capital Partners Partnership Allocation

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

C. Mr. Spalding White

Mailing Address 2410 Paces Ferry Road

City State Zip Code
Atlanta GA 30339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Salem Capital Partners

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
05 10 2010

Transaction ID : SA11AI.7162.2

Amount of Each Receipt this Period

500.00

Salem Capital Partners Partnership Allocation

[MEMO ITEM]

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. Adam Norris

Mailing Address 112 Cambridge Plaza Drive

City State Zip Code
Winston Salem NC 27104

FEC ID number of contributing
federal political committee.

C

Name of Employer
Salem Halifax Capital Partners

Occupation
Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 10 / 2010

Transaction ID : SA11AI.7162.3

Amount of Each Receipt this Period

500.00

Salem Capital Partners Partnership Allocation

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

B. Spring Capital II Management Company LLC

Mailing Address 2 E Read St
FI 5

City State Zip Code
Baltimore MD 21202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 25 / 2010

Transaction ID : SA11AI.7168

Amount of Each Receipt this Period

1500.00

Partnership Contribution (See Attribution Below)

Full Name (Last, First, Middle Initial)

C. Mr. Robert M. Stewart

Mailing Address 2 E. Read Street Latrobe Bldg
5th Floor

City State Zip Code
Baltimore MD 21202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Spring Capital Partners

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
05 / 25 / 2010

Transaction ID : SA11AI.7168.0

Amount of Each Receipt this Period

750.00

Spring Capital Partners Partnership Allocation

[MEMO ITEM]

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1500.00

: 97 `A=G79 @G B9CI G`H9LH`F9 @G H98 `HC`5 `F9DCFHŽG7 <98I @G `CF`+H9A=N5HCB
.

Form/Schedule: SA11AI
Transaction ID : SA11AI.7168

Originally reported as Spring Capital Partners. Now correctly reported as Spring Capital II Management Company LLC. Partnership attributions unchanged.

Form/Schedule:
Transaction ID:

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 21

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. Mr. Michael Donoghue

Mailing Address 5 Radnor Corporate Center

City State Zip Code
 Radnor PA 19087

FEC ID number of contributing federal political committee.

C

Name of Employer

Spring Capital

Occupation

Investment Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
 05 / 25 / 2010

Transaction ID : SA11AI.7168.1

Amount of Each Receipt this Period

750.00

Spring Capital Partners Partnership Allocation

[MEMO ITEM]

Full Name (Last, First, Middle Initial)

B. David G Townsend

Mailing Address 101 N Cherry St
Ste 700

City State Zip Code
 Winston-Salem NC 27101

FEC ID number of contributing federal political committee.

C

Name of Employer

BB&T Capital Partners, LLC

Occupation

Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 05 / 03 / 2010

Transaction ID : SA11AI.7140

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Thomas H Westbrook

Mailing Address 101 N Cherry St
Ste 700

City State Zip Code
 Winston-Salem NC 27101

FEC ID number of contributing federal political committee.

C

Name of Employer

BB&T Capital Partners

Occupation

Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 05 / 03 / 2010

Transaction ID : SA11AI.7142

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2000.00

13750.00

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

SMALL BUSINESS INVESTOR ALLIANCE PAC

A. BIG EASY COMMITTEE

011

Category/
Type

State: District: 00

5000.00

B. CASTLE CAMPAIGN FUND

MM / DD / YYYY

011

Category/
Type

State: DE District: 00

Food Item	Number of People
Pizza	800
Burger	600
Salad	400
Smoothie	200

C. COMMITTEE TO RE-ELECT NYDIA M. VELAZQUEZ TO CONGRESS

011

Category/
Type

State: NY District: 12

1500.00

7500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 18 OF 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE

Mailing Address 430 SOUTH CAPITOL STREET, SE

City	State	Zip Code
WASHINGTON	DC	20003

Purpose of Disbursement
Political Contribution

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For: 2010

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		06		2010

Transaction ID : SB23.7172

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

B. GRAVES FOR CONGRESS

Mailing Address 2345 Grand, Suite 2400

City	State	Zip Code
Kansas City	MO	64108

Purpose of Disbursement
Political Contribution

Candidate Name

SAMUEL B JR 'SAM' GRAVES

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: MO District: 06

Disbursement For: 2010

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
05		03		2010

Transaction ID : SB23.7176

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. GRAVES FOR CONGRESS

Mailing Address 2345 Grand, Suite 2400

City	State	Zip Code
Kansas City	MO	64108

Purpose of Disbursement
Political Contribution--Check Lost

Candidate Name

SAMUEL B JR 'SAM' GRAVES

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: MO District: 06

Disbursement For: 2010

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
05		03		2010

Transaction ID : SB23.7189

Amount of Each Disbursement this Period

-1000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

5000.00

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: 97 'A-G79 @G B9CI G'H9LH'F9 @H98 'HC'5 'F9DCFHŽG7 <98I @ 'CF' +H9A-N5HCB
.

Form/Schedule: SB23

Transaction ID : SB23.7176

Replacement for March 24 Contribution, for which check reported lost

Form/Schedule: SB23

Transaction ID: SB23.7189

Contribution Reported 3/24 (Apr Q 2010 Report)--Check Reported Lost

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 20 OF 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

SMALL BUSINESS INVESTOR ALLIANCE PAC

Full Name (Last, First, Middle Initial)

A. HOEVEN FOR SENATE

Mailing Address PO BOX 15114

City
ARLINGTONState
VAZip Code
22215Purpose of Disbursement
Political Contribution

011

Candidate Name

JOHN HOEVENCategory/
Type

Office Sought:

☐

House

☒

Senate

☐

President

Disbursement For: 2010

☐

Primary

☒

General

☐

Other (specify) ▼

State: ND

District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
05		06		2010

Transaction ID : SB23.7180

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. KIRK FOR SENATE

Mailing Address P.O. Box 8

City
WinnetkaState
ILZip Code
60093Purpose of Disbursement
Political Contribution

011

Candidate Name

MARK STEVEN KIRKCategory/
Type

Office Sought:

☐

House

☒

Senate

☐

President

Disbursement For: 2010

☐

Primary

☒

General

☐

Other (specify) ▼

State: IL

District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06		09		2010

Transaction ID : SB23.7182

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. MIKE THOMPSON FOR CONGRESS

Mailing Address 5429 Madison Avenue

City
SacramentoState
CAZip Code
95841Purpose of Disbursement
Political Contribution

011

Candidate Name

MIKE THOMPSONCategory/
Type

Office Sought:

☒

House

☐

Senate

☐

President

Disbursement For: 2010

☐

Primary

☒

General

☐

Other (specify) ▼

State: CA

District: 01

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
05		06		2010

Transaction ID : SB23.7179

Amount of Each Disbursement this Period

1500.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4000.00

--

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

SMALL BUSINESS INVESTOR ALLIANCE PAC

A. NATIONAL REPUBLICAN SENATORIAL COMMITTEE

Date of Disbursement

Transaction ID : SB23.7173

011

Amount of Each Disbursement this Period

Category/
Type

Disbursement For: 2010

☐ Primary ☒ General
☐ Other (specify) ▼

State: District:

Full Name (Last, First, Middle Initial)

B. SENATE MAJORITY FUND

Date of Disbursement

Transaction ID : SB23.7184

011

Amount of Each Disbursement this Period

Category/
Type

Disbursement For: 2010

☐ Primary ☒ General
☐ Other (specify) ▼

State: District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

Amount of Each Disbursement this Period

Category/
Type

Amount of Each Disbursement this Period

Category/
Type

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

SUBTOTAL of Disbursements This Page (optional).....

6500.00

TOTAL This Period (last page this line number only).....

23000.00